

# PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ralph H. Johnson et al.

Examiner: unknown

Serial No.: 10/617,290

Group Art Unit: unknown

Filed: July 10, 2003

For: VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS

Docket No.: H26341-D1 (1139.1124103)

#### TRANSMITTAL SHEET

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as this glass mail in an envelope addressed to the:

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313/1451, on this 5th day of February, 2004.

By: \_

rian N. Tuffe

We are transmitting herewith the attached.

[X] Information Disclosure Statement, Form PTO-1449 and lacited reference.

[X] Please charge any deficiencies or credit any overpayment in the enclosed fees to

Deposit Account No. 50-0413.

Brian M. Tufte / Reg. No. 38,638

CROMPTON/SEAGER & TUFTE, LLC

1221 Nicollet/Avenue, Suite 800 Minneapolis, MN 55403-2402

Telephone:

(612) 677-9050

Facsimile:

(612) 359-9349





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ralph H. Johnson et al.

Examiner: unknown

Serial No.: 10/617,290

Group Art Unit: unknown

Filed: July 10, 2003

For: VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS

Docket No.: H26341-D1 (1139.1124103)

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as irrst/class mail in an envelope addressed to the:

Commissioner for Patents, PO Box 1450, Alexandria, // A /22313/1450 on this 5th day of February , 2004.

Ban N. Tufle

Dear Sir/Madam:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Dated: February 5, 2004

Brian N. Tufte, Reg. No. 58,638

Respectfully/submitted

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402

Telephone:

(612) 677-9050

Facsimile:

(612) 359-9349

FEB 0 9 2004 E	Atty. Docket No.: H26341 D1 (1139.1124103)	Serial No.: 10/617,290	
PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION	Applicant:		
	Ralph Johnson et al.		
DISCLOSURE STATEMENT	Filing Date	Group Art:	
	July 10, 2003	unknown	

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
			14-17-1			
		FOREIC	ON PATENT DOCUMEN	NTS		
	Document No.	Date	Country	Class	Sub Class	Translation Yes No
I	OTUED	APT (Includin	ag Author Title Date Pe	rtinent Page	Etc.)	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	Choquette, Kent D., et al., "Design of Oxide Aperture Profile within Selectively Oxidized VCSELs,
	IEEE, Conference Proceedings, LEOS '98, 11th Annual Meeting, Orlando, Florida, December 1-4,
	1998, pages 179-180.

**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.